



21242/280A 3421-CIP-II

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:
MASANOBU NISHIMAKI
Serial No: 10/722,018
Filed: November 25, 2003
For: FINANCIAL TRANSACTION SERVER
(as amended)

Art Unit: 3694
Examiner: Trotter, Scott S

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, and as part of a Request for Continued Examination, the Applicant for the above-identified application hereby submits legible copies of the following publications along with the Form PTO/SB/08a which contains the information required by 37 CFR 1.98(b):

- A. US Patent No. 6,233,566 B1 issued to Levine et al. on May 15, 2001;
- B. US Patent No. 5,915,209 issued to Lawrence on June 22, 1999;
- C. US Patent No. 6,014,643 issued to Minton on January 11, 2000;
- D. US Patent No. 5,845,266 issued to Lupien et al. on December 1, 1998;
- E. E*TRADE, "After hours trading,"

<http://web.archive.org/web/2000621204404/www.etrade.com>, 2000, pages 1-6;

F. MRUTYUNJAYA SWAIN et al., "Database programming using java,"
Proceedings IEEE SoutheastCon, April 5, 2002, pages 220-225, IEEE;

G. ALAN LIU et al., "Security issues on server-side credit-based electronic payment systems," Proceedings of the 3rd International Symposium on Electronic Commerce, October 18, 2002, pages 47-57, IEEE;

H. STAVROS PAPASTAVROU et al., "A survey on the java-based approaches for web database connectivity," 10th Mediterranean Electrotechnical Conference Vol.1, May 29, 2000, pages 290-293, IEEE;

I. International Publication No. WO 01/25996 A1 published by the WIPO on April 12, 2001;

J. European Patent Application Publication No. EP 1 118 953 A2 published by the European Patent Office on July 25, 2001;

K. International Publication No. WO 01/43026 A1 published by the WIPO on June 14, 2001;

L. Japanese Patent Application Laid-Open (Kokai) No. 2001-216403 published by the Japan Patent Office on August 10, 2001;

M. Japanese Patent Application Laid-Open (Kokai) No. 2001-202469 published by the Japan Patent Office on July 27, 2001; and

N. Japanese Patent Application Laid-Open (Kokai) No. 2001-236456 published by the Japan Patent Office on August 31, 2001.

Of the above-identified publications, publications L-N are not in the English language, and thus the English translation (including the English Abstract) of each of the publications is attached. The English translations of publications L-N are machine translations generated by the Patent Abstracts of Japan, a database hosted by the JPO.

It is, therefore, hereby respectfully requested that the Patent Office make a record of these publications and consider them during the examination.

Respectfully submitted,

By: 

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